



Audit and Risk Management Committee

Date: TUESDAY, 26 JANUARY 2016

Time: 1.45 pm

Venue: COMMITTEE ROOMS, 2ND FLOOR, WEST WING, GUILDHALL

Members:

Alderman Nick Anstee (Chairman)	Alderman Timothy Hailes
Nigel Challis (Deputy Chairman)	Alderman Ian Luder
Sheriff & Alderman Charles Bowman	Kenneth Ludlam (External Member)
Deputy Roger Chadwick (Ex-Officio Member)	Caroline Mawhood (External Member)
Henry Colthurst (Ex-Officio Member)	Jeremy Mayhew (Ex-Officio Member)
Hilary Daniels (External Member)	Graeme Smith
Revd Dr Martin Dudley	
Deputy Jamie Ingham Clark	
Oliver Lodge	

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Lunch will be served in Guildhall Club at 1pm
NB: Part of this meeting could be the subject of audio or video recording

John Barradell
Town Clerk and Chief Executive

AGENDA

Part 1 - Public Agenda

1. **APOLOGIES**
2. **MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**
3. **MINUTES OF THE PREVIOUS MEETING**
To agree the public minutes of the meeting held on 3rd November 2015.
For Decision
(Pages 1 - 4)
4. **OUTSTANDING ACTIONS LIST**
To note the Committee's Outstanding Actions List.
For Information
(Pages 5 - 6)
5. **COMMITTEE WORK PROGRAMME**
To note the Committee's Work Plan.
For Information
(Pages 7 - 8)
6. **TERMS OF REFERENCE AND FREQUENCY OF MEETINGS OF THE AUDIT AND RISK MANAGEMENT COMMITTEE**
Report of the Town Clerk.
For Decision
(Pages 9 - 12)
7. **BRIDGE HOUSE ESTATES, CITY'S CASH, CITY'S CASH TRUSTS, THE CORPORATIONS SUNDRY TRUSTS & OTHER ACCOUNTS - EXTERNAL AUDIT STRATEGY & PLANNING REPORT ON THE 2015-16 FINANCIAL STATEMENTS**
Report External Auditors to those charged with Governance.
For Decision
(Pages 13 - 32)
8. **INTERNAL AUDIT UPDATE REPORT**
Report of the Head of Internal Audit and Risk Management.
For Information
(Pages 33 - 40)
9. **2016-17 INTERNAL AUDIT PLAN AND THREE YEAR STRATEGY**
Report of the Head of Internal Audit and Risk Management.
For Decision
(Pages 41 - 52)
10. **AUDIT AND RISK MANAGEMENT COMMITTEE SURVEY**
Report of the Town Clerk.
For Decision
(Pages 53 - 60)

11. **CORPORATE RISK REGISTER REVIEW**

Report of the Chamberlain.

For Information
(Pages 61 - 88)

12. **CORPORATE RISK 20 - ROAD SAFETY**

Joint report of the Director of the Department of Built Environment and City of London Police.

For Information
(Pages 89 - 104)

13. **REGULATION OF INVESTIGATORY POWERS ACT 2000**

Report of the Town Clerk.

For Information
(Pages 105 - 120)

14. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

15. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

16. **EXCLUSION OF THE PUBLIC**

RESOLVED: That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

For Decision

Part 2 - Non-Public Agenda

17. **WAIVER REQUEST**

Report of the Chamberlain.

This report was approved by the Finance Committee on 15th December 2015.

For Information
(Pages 121 - 124)

18. **THE CHAIRMAN TO BE HEARD IN RESPECT OF A GOVERNANCE MATTER**

19. **NON-PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

20. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**